



Thermo-economic comparison of organic Rankine and CO₂-cycle systems for low-to-medium temperature applications

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- ❑ **Background**
- ❑ **System description**
- ❑ **Calculation models**
- ❑ **Comparison results**
- ❑ **Conclusions**

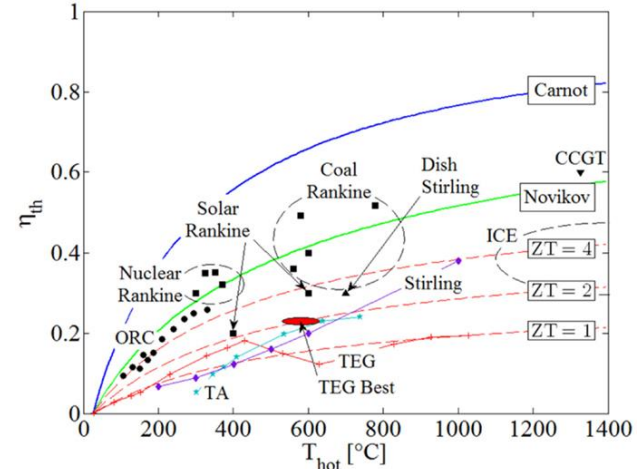
Heat-to-power conversion: Low-to-medium grade heat (<300 °C)

☐ Heat source

geothermal, biomass, solar, industrial waste heat

☐ Technology

- Organic Rankine cycle (ORC)
High efficiency, reliable and available;
Environmental and safety issues
- CO₂ power cycle (transcritical: CTPC; supercritical)
Environmental-friendly, compact; high decomposition temperature
Low efficiency, high-pressure



[1] Markides CN, Low concentration solar-power systems based on organic Rankine cycles for distributed-scale applications: overview and further developments. *Front Energy Res* 2015;3:47.

Technology comparison and selection



Li et al.
2014

Geothermal 90-120 °C
CTPC vs ORC (R123, R245fa, R600a, R601)
Basic cycle & recuperative cycle
R600a-ORC shows best W_{net}



Li et al.
2016

Waste heat 120-260 °C
CTPC vs ORC (R245fa)
Basic cycle & recuperative cycle
R245fa-ORC outperforms CTPC in η_{th}



Astolfi et
al. 2019

200-600 °C
CO₂ systems vs ORC (47 WFs)
Basic & recuperative & cascade & ...
>300 °C CO₂; <300 °C ORC in η_{th}

Cement plant waste heat 295 °C/ 410 °C
CTPC vs sCO₂ vs ORC (Cyclopentane)
Basic cycle & recuperative cycle
CTPC shows best W_{net} and η_{th}



Klemencic et
al. 2016

Engine waste heat 780 °C
CTPC vs ORC (R123)
Basic cycle & preheated-recuperative cycle
CTPC outperforms in economic



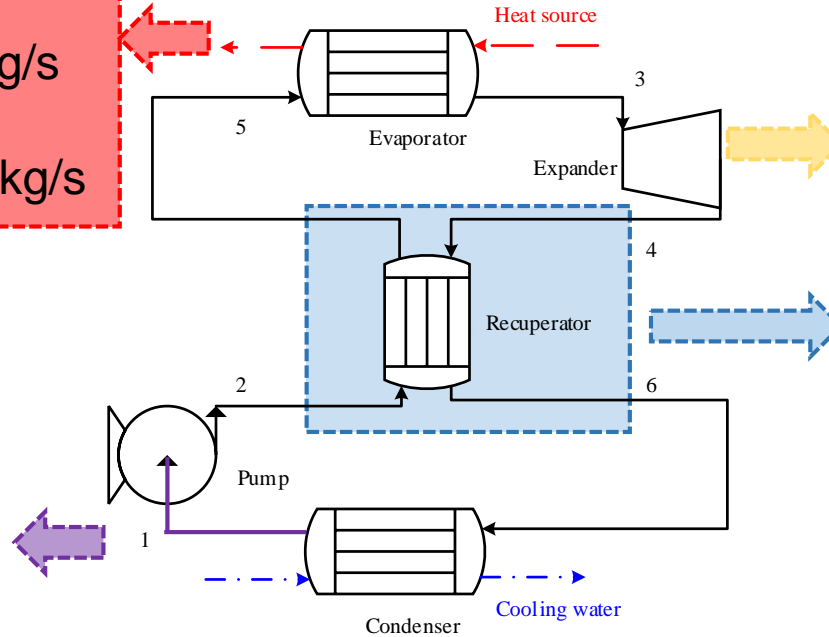
Shu et
al. 2016

**Scientific gap:
ORC vs CO₂ cycle**

System description

- Geothermal: 100-200 °C, 15-50 kg/s
- Engine exhaust 200-300 °C, 20-120 kg/s

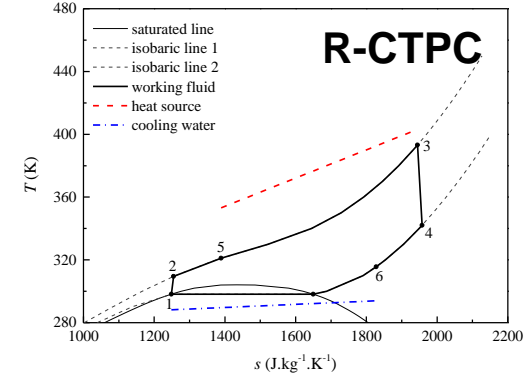
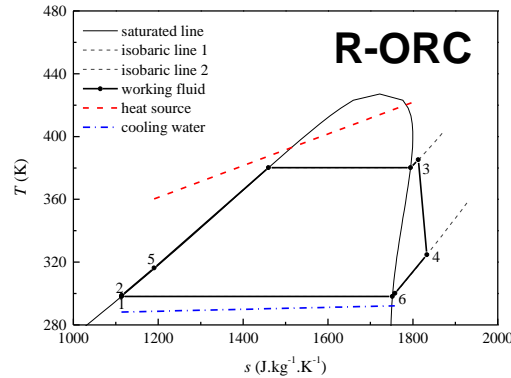
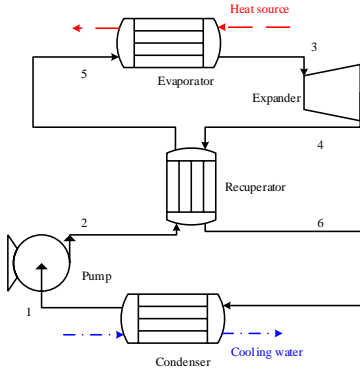
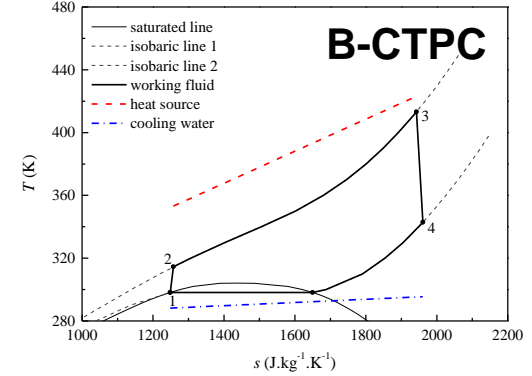
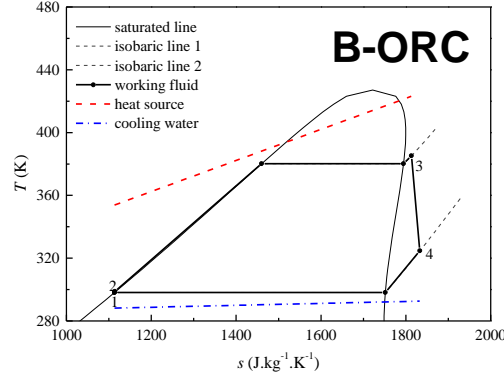
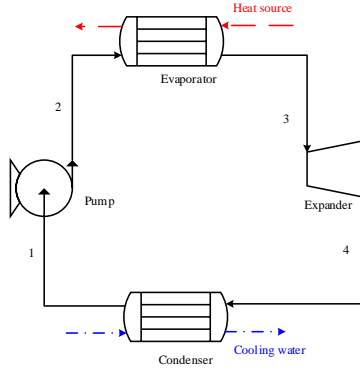
- R245fa
- R600
- R1233zd
- CO₂



- Reciprocating-piston
- Radial-inflow turbine

- Basic cycle
- Recuperative cycle

System description

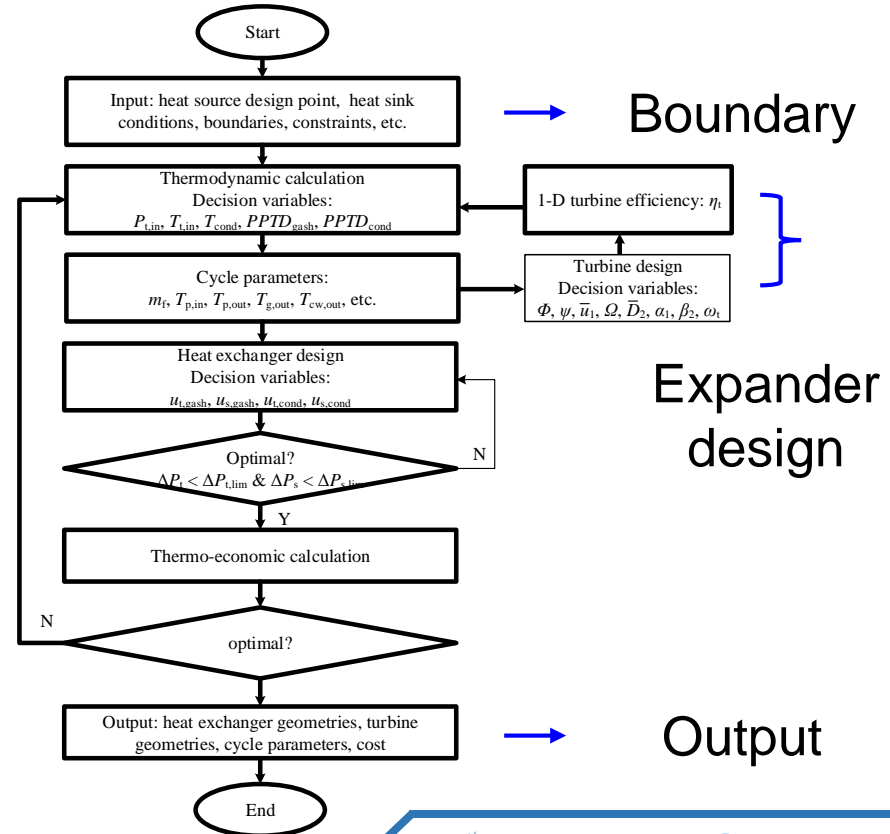


Overall thermo-economic model

Cycle parameters determination

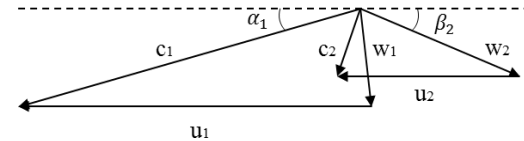
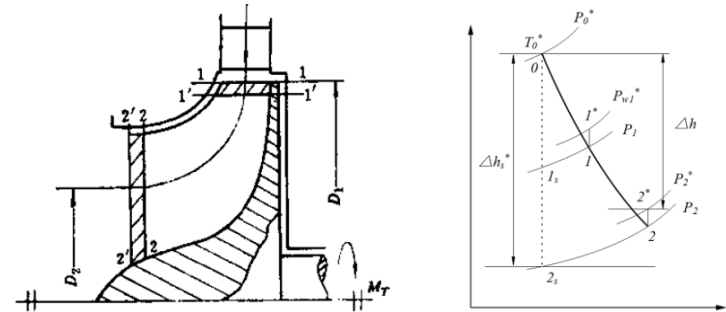
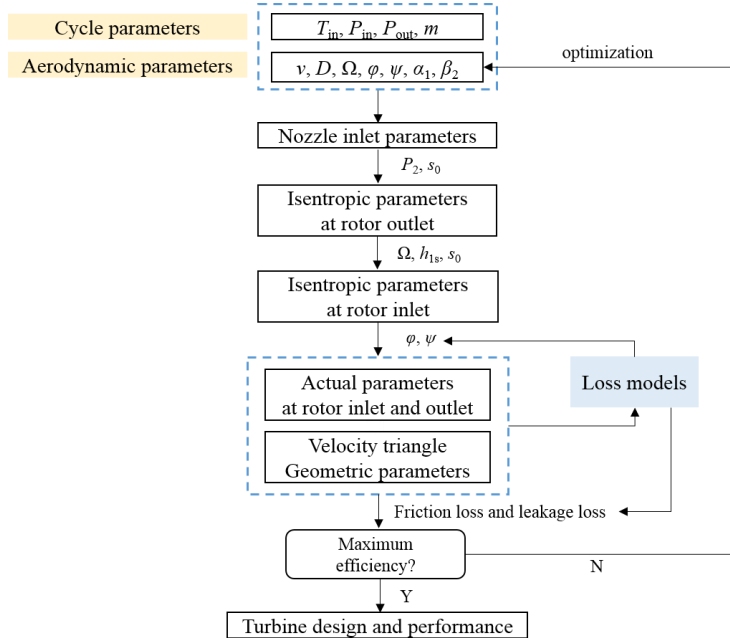
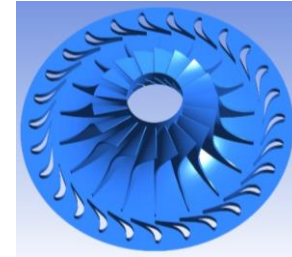
Heat exchanger design

Economic performance evaluation



Expansion device – Radial-inflow turbine

1-D mean-line method + loss models



[1] Song et al. Influence of the radial-inflow turbine efficiency prediction on the design and analysis of the organic Rankine cycle (ORC) system. Energy Convers Manag 2016;123:308-16.

Expansion device – Reciprocating-piston expander

Lumped-mass model

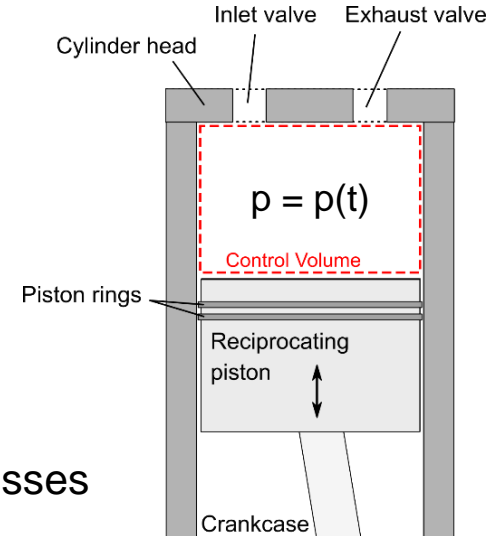
➤ Dynamic lumped model in MATLAB

- Conserving mass and energy:

$$\frac{dm}{dt} = \dot{m}_{in} - \dot{m}_{out}$$

$$\frac{d}{dt}(mh) = \dot{Q} + V \frac{dP}{dt} + \sum \dot{m}_{in} h_{in} - \sum \dot{m}_{out} h_{out}$$

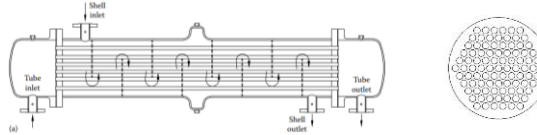
- Heat transfer using correlations
 - Loss models: in-cylinder, pressure, mechanical power losses
- Maps of optimal designs for given conditions
- Output power, efficiency and mass flow rate given as function of inlet pressure and temperature.



[1] Sapin P, Taleb A, White AJ, Markides CN. Reciprocating-piston compressors or expanders for energy-conversion or energy-storage applications. In: Proc. of the 4th Sustainable Thermal Energy Mgmt Intl Conf (SusTEM2017). Alkmaar, the Netherlands; 2017.

Heat exchanger – Shell-and-tube type

Bell-Delaware method



➤ Shell side

- HTC

$$\alpha_s = j_i \frac{c_{p,s} G_s}{Pr_s^{2/3}} \left(\frac{\mu_s}{\mu_w} \right)^{0.14} j_c j_l j_b j_s j_r$$

- ΔP

$$\Delta P_s = \left[(N_b - 1) R_b R_t + 2 \left(1 + \frac{N_{tcw}}{N_{tcc}} \right) R_b R_s \right] \frac{2 f_s N_{tcc} G_s^2}{\rho_s} \left(\frac{\mu_s}{\mu_w} \right)^{-0.14} + \frac{(2 + 0.6 N_{tcw}) G_w^2}{2 \rho_s} N_b R_t$$

➤ Tube side

- HTC – different correlations

- ΔP

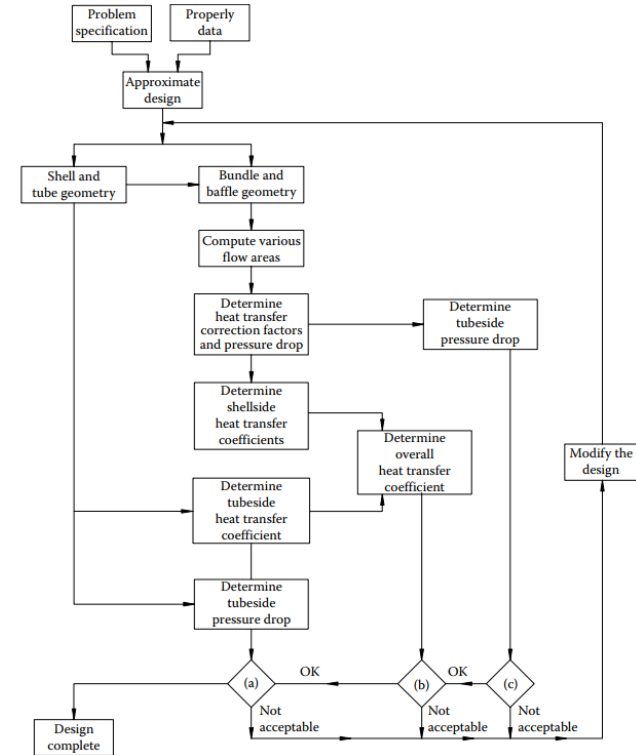
$$\Delta P_t = \frac{1.5 G^2}{2 \rho} + \frac{f_t L G^2 Z_t}{2 d_i \rho \varphi_t} + \frac{G^2}{2 \rho} (K_c + K_e) Z_t + \frac{4 Z_t G^2}{2 \rho}$$

➤ Overall HTC

$$\frac{1}{K} = \frac{1}{\alpha_t} \cdot \frac{d_o}{d_i} + r_{ft} \cdot \frac{d_o}{d_i} + \frac{\delta_w}{\lambda_w} \cdot \frac{d_o}{d_m} + r_{fs} + \frac{1}{\alpha_s}$$

➤ HTX area

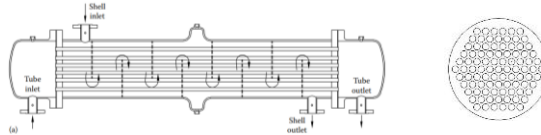
$$A = \frac{Q}{K \cdot \text{LMTD}} \quad \text{LMTD} = \frac{(T_{h,in} - T_{c,out}) - (T_{h,out} - T_{c,in})}{\ln \left[\frac{(T_{h,in} - T_{c,out})}{(T_{h,out} - T_{c,in})} \right]}$$



[1] Thulukkanam K. Heat exchanger design handbook. CRC press; 2013.

Heat exchanger – Shell-and-tube type

Bell-Delaware method



➤ Correlations for tube-side HTC calculation

	Phase	Correlation	Formula
ORC	Single phase	Dittus-Boelter	$\alpha_i = 0.023 \cdot \frac{\lambda}{d_i} \text{Re}^{0.8} \cdot \text{Pr}^n$
ORC	Evaporation	Cooper nucleate pool-boiling	$\alpha_i = 1.5 \cdot 55 \cdot (P_{\text{evap}} / P_{\text{crit}})^{[0.12 - 0.2 \cdot \log(R_p)]} \cdot [-\log(P_{\text{evap}} / P_{\text{crit}})]^{-0.55} \cdot g^{0.67} \cdot M_r^{-0.5}$
ORC	Condensation	Dobson	$\alpha_i = 0.023 \cdot \frac{\lambda}{d_i} \cdot \text{Re}_i^{0.8} \cdot \text{Pr}_i^{0.4} \cdot \left(1 + \frac{2.22}{X_H^{0.89}}\right)$
CTPC	Single phase	Petukhov-Kirillov	$\alpha_i = \frac{\lambda}{d_i} \frac{(f/8) \text{RePr}}{[12.7(f/8)^{0.5} (\text{Pr}^{2/3} - 1) + 1.07]}$
CTPC	Supercritical	Petukhov-Krasnoshchekov-Protopopov	$\alpha_i = \frac{\lambda}{d_i} \frac{(f/8) \text{RePr}}{[12.7(f/8)^{0.5} (\text{Pr}^{2/3} - 1) + 1.07]} \cdot \left(\frac{\bar{c}_p}{c_{p,\text{bulk}}}\right)^{0.35} \left(\frac{\lambda_{\text{bulk}}}{\lambda_{\text{wall}}}\right)^{-0.33} \left(\frac{\mu_{\text{bulk}}}{\mu_{\text{wall}}}\right)^{0.11}$
CTPC	Condensation	Chen	$\alpha_i = 0.023 \frac{\lambda}{d_i} \text{Re}^{0.8} \text{Pr}^{0.4} F$

[1] Shu et al. An improved CO₂-based transcritical Rankine cycle (CTRC) used for engine waste heat recovery. Appl Energy 2016;176:171-82.

[2] Thulukkanam K. Heat exchanger design handbook. CRC press; 2013.

Economic models – Cost estimation

Module costing technique

$$C_{\text{BM}} = C_p^0 F_{\text{BM}} = C_p^0 (B_1 + B_2 F_M F_p)$$

$$\log(C_p^0) = K_1 + K_2 \log(X) + K_3 [\log(X)]^2$$

$$\log(F_p^0) = C_1 + C_2 \log(P_i) + C_3 [\log(P_i)]^2$$

$$\text{Cost} = \sum C_{\text{BM}} \frac{\text{CEPCI}_{2017}}{\text{CEPCI}_{2001}}$$

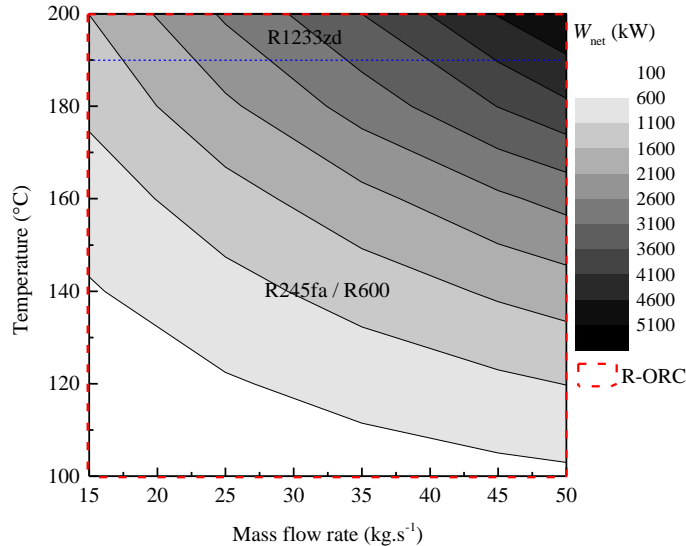
$$\text{SIC} = \frac{\text{Cost}}{W_n}$$

Constant	Heat exchanger	Pump	Turbine	Piston
K_1	4.3247	3.8696	2.2476	2.7051
K_2	-0.303	0.3161	1.4965	1.4398
K_3	0.1634	0.122	-0.1618	-0.1776
C_1	-0.0016	-0.2454	/	/
C_2	-0.0063	0.259	/	/
C_3	0.0123	-0.0136	/	/
B_1	1.63	1.89	/	/
B_2	1.66	1.35	/	/
F_M	1.35	1	/	/
F_{bm}	/	/	3.5	3.5

[1] Turton R, Bailie RC, Whiting WB, Shaeiwitz JA. Analysis, synthesis and design of chemical processes. Pearson; 2008.

Geothermal application – with radial-inflow turbine

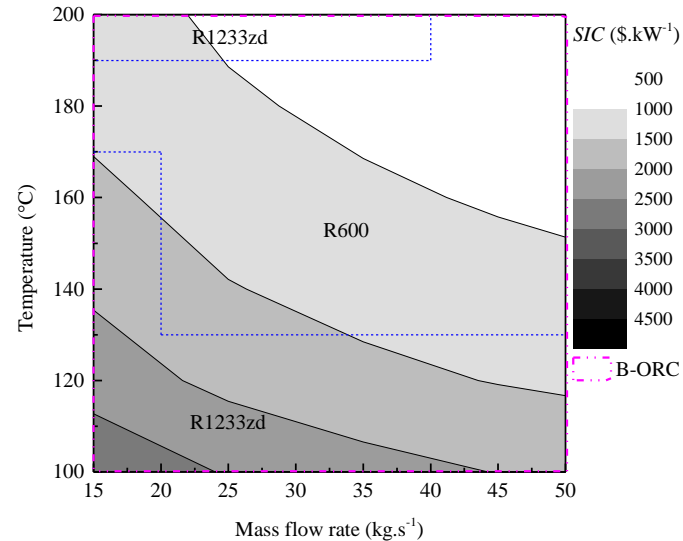
Net power output



R-ORC is the best

- Low heat source temperature; $\eta_t = \sim 0.84$
- WF varies with HS temp., not remarkable**
- similar critical temp. (~ 425 - 435 K)

Specific investment cost

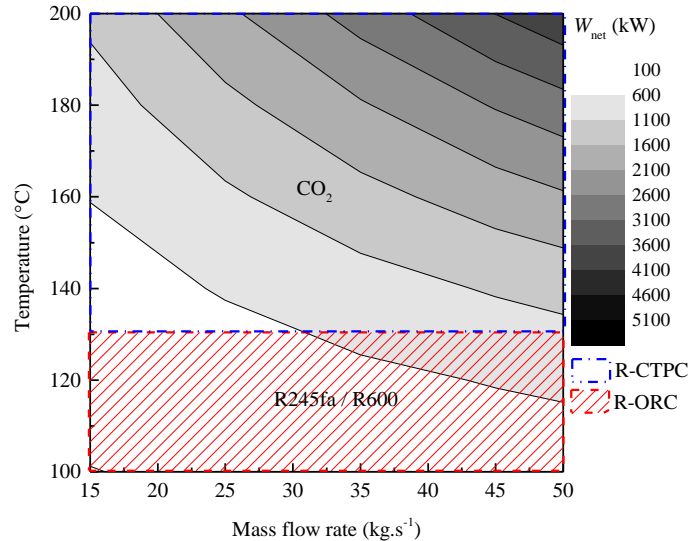


B-ORC is the best

- similar W_{net} with R-ORC; no recuperator cost

Geothermal application – with reciprocating-piston expander

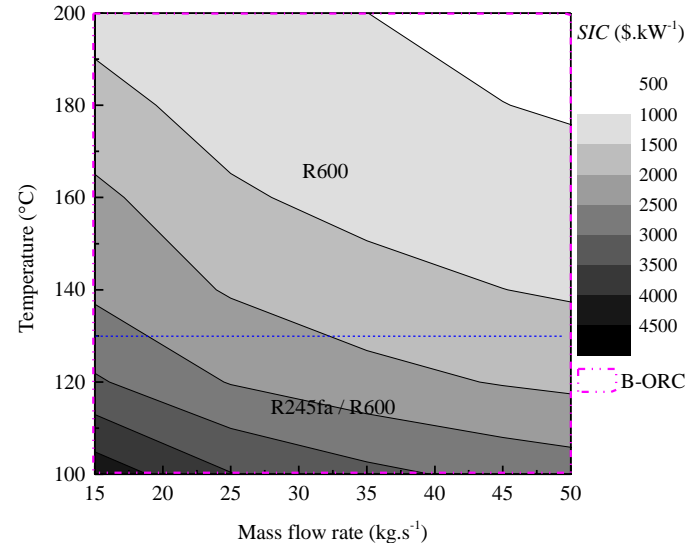
Net power output



<130 °C: R-ORC; >130 °C: R-CTPC

- piston isentropic efficiency vs pressure ratio
- better temperature match

Specific investment cost

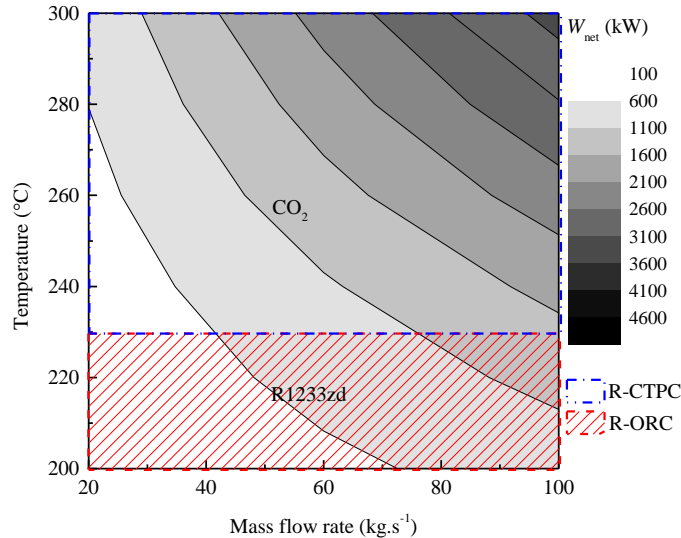


B-ORC is the best

- R600; less HTX areas

Marine engine WHR – with radial-inflow turbine

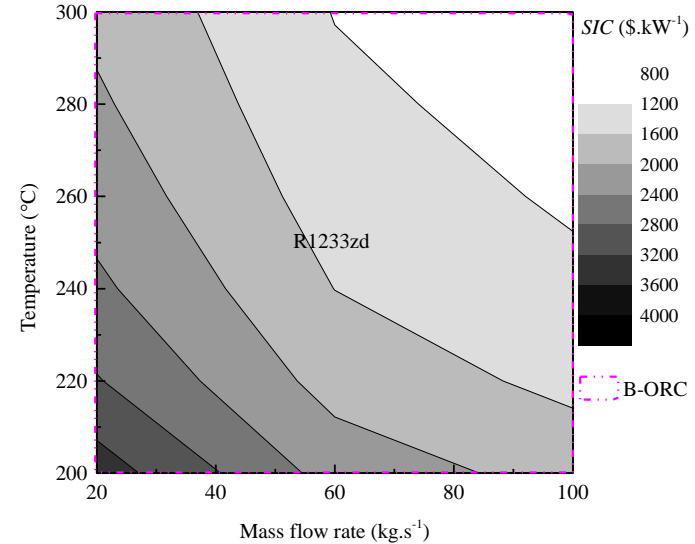
Net power output



<230 $^{\circ}\text{C}$: R-ORC; >230 $^{\circ}\text{C}$: R-CTPC

- better temperature match

Specific investment cost

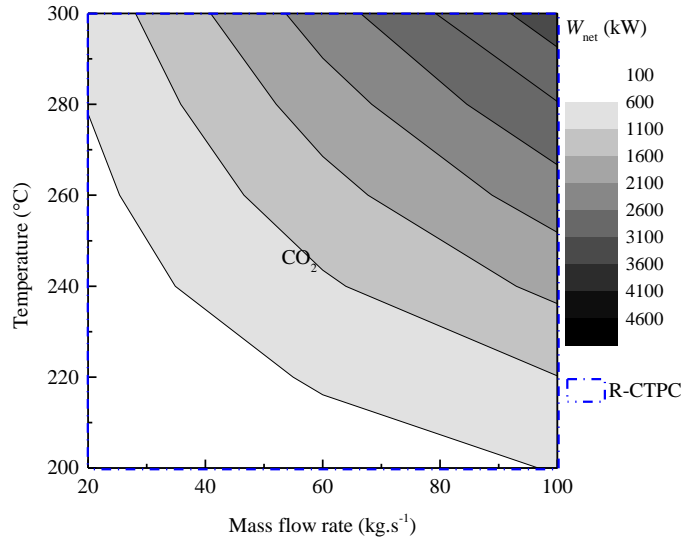


B-ORC is the best

- similar W_{net} with R-ORC; no recuperator cost
- pump cost in CTPC is higher
- R1233zd

Marine engine WHR – with reciprocating-piston expander

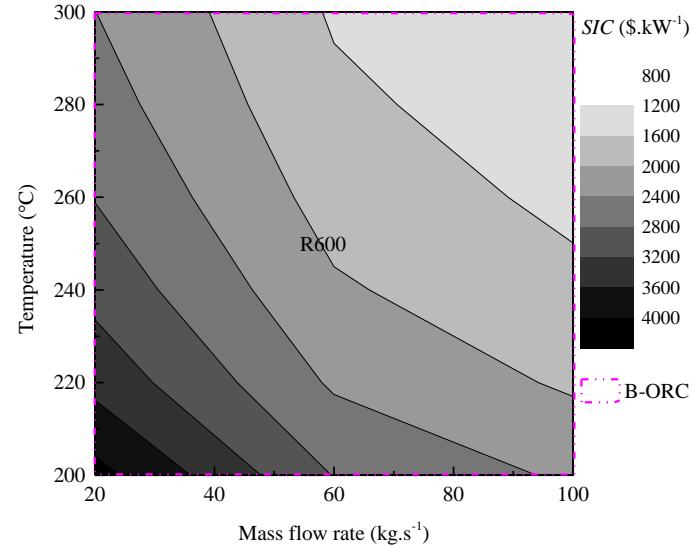
Net power output



R-CTPC is the best

- better temperature match
- higher expander isentropic efficiency

Specific investment cost

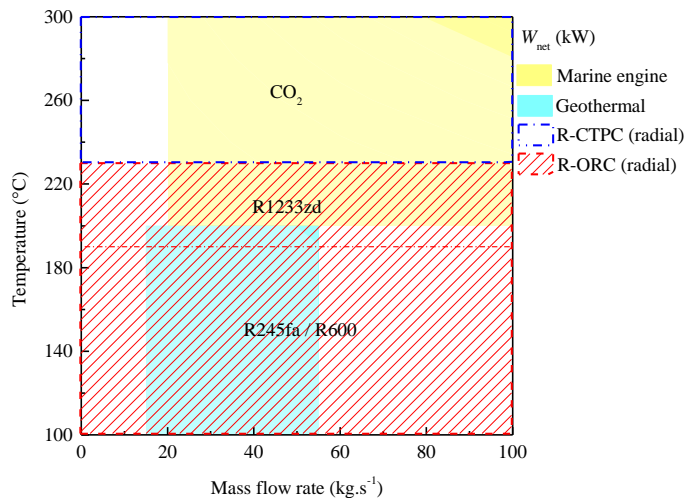


B-ORC is the best

- similar W_{net} with R-ORC; no recuperator cost
- pump cost in CTPC is higher
- R600

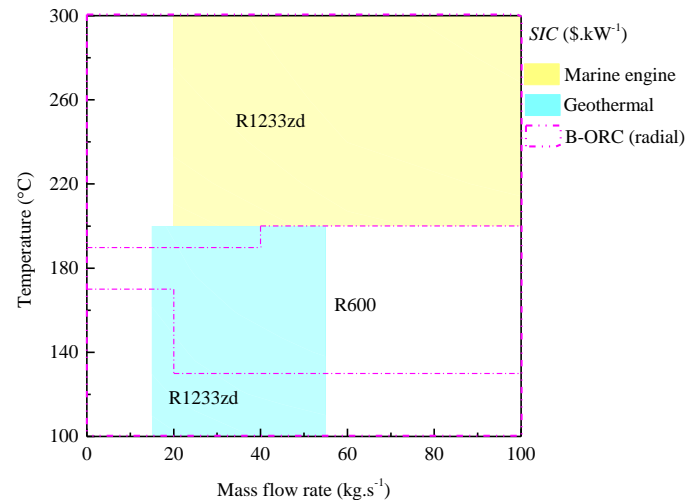
Generalized selection maps

Net power output



Recuperator is preferable
CO₂ systems are better at higher HS temp.
Radial-inflow turbine outperforms

Specific investment cost



B-ORC is the best

- ❑ Thermodynamic and economic performance selection maps are generated through comprehensive component-to-system level comparison
- ❑ A recuperator is favoured for high power outputs, while basic systems are more economically viable. R-CTPC system outperforms the other systems at higher heat-source temperatures, while R-ORC system is much more suitable at lower heat-source temperatures in terms of net power output
- ❑ Optimal working fluid in ORC systems is much more sensitive to the heat-source temperature than to the heat-source mass flow-rate
- ❑ Radial-inflow turbines appear more attractive than reciprocating-piston expanders for the given applications



Thanks for your attention!

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